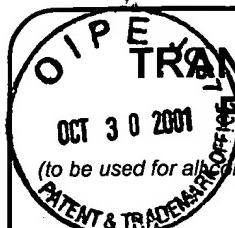


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|--|--|------------------------|----------------|
|  |  | Application Number     | 09/865,598     |
|  |  | Filing Date            | May 29, 2001   |
|  |  | First Named Inventor   | Souripriya Das |
|  |  | Group Art Unit         | 2171           |
|  |  | Examiner Name          |                |
| Total Number of Pages in This Submission |  | Attorney Docket Number | 19111.0041     |

## ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Statement Claiming Small Entity Status                         | <input type="checkbox"/> After Allowance Communication to Group  |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                          |
| <input type="checkbox"/> Amendment / Response                                | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)                   |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition    | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s)<br>(please identify below):<br>PTO-1449, 4 references |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  |  |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s)  |  |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   | Remarks   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |
|-------------------------|---|
| Firm or Individual name | Eric J. Franklin, Reg. No. 37,134<br>Swidler Berlin Shereff Friedman, LLP |
| Signature               |   |
| Date                    | 10-30-01  |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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| Typed or printed name |  | Date |
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Attorney Docket : 19111.0041

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Souripriya Das et al.

Application No.: 09/865,598

Art Unit: 2171

Filed: May 29, 2001

Examiner:

For: Online Tracking and Fixing of Invalid Guess-DBAs in Secondary Indexes and Mapping Tables on Primary B+Tree Structures

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

U.S. patent applications which the applicant considers to be related to the above-identified application are as follows:  
U.S. Patent Application No. 09/473,073 filed December 28, 1999; U.S. Patent Application No. 09/865,597 filed May 29, 2001; U.S. Patent Application No. 09/865,599 filed May 29, 2000; U.S. Patent Application No. 09/867,678 filed May 31, 2001; U.S. Patent Application No. 09/871,720 filed June 4, 2001; all assigned to common assignee Oracle Corporation.

These documents are being submitted (check only one of the next four boxes):

- within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- before receiving a first Office Action on the merits of the above-identified patent application; or before receiving a first Office Action after filing of a Request for Continued Examination (no fee is due);
- following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked);

OR

- following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).
- The Commissioner is hereby authorized to charge Deposit Account 19-5127, Order No. in the amount of \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p).
- The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by a foreign patent office in a counterpart application.
- The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission.

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 19-5127, Order No. 19111.0041. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,



Eric J. Franklin, Reg. No. 37,134  
Swidler Berlin Shreff Friedman, LLP  
3000 K Street, N.W., Suite 300  
Washington, D.C. 20007-5116  
202 424-7500

Date: 10/20/01